

APPENDIX I
HOMELAND SECURITY/EMERGENCY MANAGEMENT
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APPENDIX I HOMELAND SECURITY/EMERGENCY MANAGEMENT

I-1. Background. The Flood Control and Coastal Emergencies (FCCE) program was established in 1955 by Public Law 84-99, as amended (33 USC 701n).

I-2. Purpose. The Corps FCCE Program purpose is to provide for Disaster Preparedness to include preparedness activities under Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), Homeland Security/Emergency Operations, Rehabilitation of Flood Control Works damaged or destroyed by floods and the rehabilitation of Federally authorized and constructed Hurricane/Shore Protection Projects (HSPP) damaged or destroyed by wind, wave or water action of an other than ordinary nature, provision of Emergency Water, Advance Measures to prevent or reduce flood damage when there is an imminent threat of unusual flooding and participation in the Hazard Mitigation program.

I-3. Civil Works 5-Year Plan. The Civil Works Five Year Development Plan purpose is to present an overview on how the funding for the Civil Works program over a five-year period will produce results that contribute to the achievement of strategic goals and objectives in the Civil Works Strategic Plan. For the Homeland Security/Emergency Management program the proposed increments included in this EC were developed to provide the Homeland Security/Emergency Management 5-Year Plan. The five-year plan focus is to undertake projects and activities that provide the highest net economic and environmental returns on the Nation's investment. See paragraph 8 (b) of the main part of the EC.

I-4. Program Objectives. Table I-1 immediately below displays the FCCE Program Objectives and Performance Measures published in the March 2004 Civil Works Strategic Plan. The CW Strategic Plan was developed with an explicit assumption of an unconstrained resource environment to encourage an unconstrained assessment of the nation's water resources needs and potential Corps response. Preparation of the PY (FY 09) Budget Request requires the recognition of a constrained budget environment and the ongoing effort to evolve better budget linked performance measures. Table I-2 displays the program performance measures and performance ranking and rating criteria which support and/or supplement Table I-1 program objectives and performance measures to reflect the near term realities of a constrained PY budget environment.

TABLE I-1 Strategic Plan Objectives and Performance Measures	
Program Objectives	Performance Measures
1. Attain and maintain a high, consistent state of preparedness.	<ul style="list-style-type: none"> – Planning Response Team Readiness index – PL84-99 Response Team Readiness index – Percent of scheduled inspections performed for all non-Federal Flood Control Works in Rehabilitation and Inspection Program (RIP), as required by ER 500-1-1
2. Provide a rapid, effective, efficient all-hazards response.	<ul style="list-style-type: none"> – Percent of time solutions are developed and implemented (either repaired to pre-

	flood conditions or possible non-structural alternative) prior to next flood season
3. Ensure effective and efficient long-term recovery operations.	– Percentage of Federal and non-Federal flood control works in the Rehabilitation and Inspection Program with a satisfactory condition rating

I-5. FCCE Performance Measures. The FCCE program is a well-established, multi-faceted program that encompasses disaster preparedness, response and recovery activities in support of federal, state and local stakeholders. Due to the emergency nature of this program, funding must be available for preparedness and response requirements. To achieve the FCCE goals, the following program budget objectives and rating criteria are established for PY program. We have also established a system of ranking criteria that are more detailed than those in the Strategic Plan and will permit objective evaluation of expenditure of funds.

TABLE I-2 Measures Rating Criteria	
Program Measures	Measure Rating Criteria
1. Planning Response Team Readiness index.	This measure tracks the percent of the time that Planning Response Teams for a given mission area are in the Green state of readiness (trained, staffed, ready to deploy).
2. PL84-99 Response Team Readiness index.	This measure tracks the percent of the time that PL84-99 Response Teams are in the Green state of readiness at the beginning of flood/hurricane season (trained, fully staffed, ready to deploy).
3. FCW (Levees, floodwalls, etc.) inspections performed.	Percent of scheduled inspections performed for all non-Federal Flood Control Works in RIP, as required by ER 500-1-1.
4. Deployable Tactical Operations System (DTOS) Readiness Index	Measures the readiness status of the national deployable support equipment and teams.
5. Develop/maintain/exercise preparedness plans	Measures development/maintenance/exercising of contingency plans, SOPs, Guides, etc. IAW 1 yr/5yr. MSC/District workplans (Flood/Hurricane/NRP (natural disasters), etc.)
6. Execution of the National Training, Exercise and Evaluation and Corrective Action	Measures the effective execution of the national (USACE-wide) readiness life cycle
7. Conditional rating of Federal and non-Federal flood control works	Tracks the condition of Federal and non-Federal FCW (approximately 3000) in the RIP. Provides an opportunity to judge program and expected project performance as the projects age and potentially deteriorate. Measurement reflects cumulative percent of projects with satisfactory rating (national database).
8. PRT Performance	Measures the performance of PRT mission/functional during response in support of FEMA/DHS under the NRP.

9. ESF #3 Cadre Performance	Measures the performance of ESF #3 TL/ATL during response in support of FEMA under the NRP.
10. Restoration of damaged FCW.	Percent of time solutions are developed and implemented (either repaired to pre-flood conditions or possible non-structural alternative) prior to the next flood season.
11. Homeland Security/Emergency Management Readiness Index	MSC/District maintained in an operations readiness status for all hazards contingency requirement. Operational readiness includes staffed, trained, equipped cadres for both supported and supporting MSC/District roles.
12. Career Management and Credentialing	HQ/MSC/District has effective career management and training program for senior staff and progression of responsibilities for other positions.
13. Homeland Security/Emergency Management business process	MSC/District develop and maintain 5-year plan forecasting organizational structure and purpose while indicating resources (dollars & hours) required as part of the budget process utilizing standardized budget guidance. Meet all mission essential tasks for overall MSC/District goals and objectives relative to Homeland Security/Emergency Management.

I-6. Budget Screening Criteria. The following criteria will be utilized to address deficiencies and prioritize the expenditure of available funds. (The following criteria is not intended to imply that all funding will necessarily be eliminated in a given category before moving to the next priority):

- Limit or eliminate training and exercises for response personnel
- Postpone the rehabilitation of damaged FCW
- Limit or eliminate advance measures activities
- Reduce or eliminate funding for equipment purchases for operational needs
- Limited emergency operations capability
- Reduce or eliminate funding for EM staff at all levels

I-7. Program Rating and Ranking Criteria for PY Budget Development.

a. **Ceiling and Recommended Programs.** This activity consists of functions required to ensure that USACE activities are ready to respond to a broad range of disasters and emergencies. It includes coordination, planning, training, and the conduct of response exercises with key local, state and federal stakeholders/partners under our own statutory authorities and in support of the Federal Emergency Management Agency, Department of Homeland Security. It also provides the vehicle for the purchase and stockpiling of critical supplies and equipment and support facilities (Emergency Operations Centers) to include the purchase additional and upgrade existing deployable tactical operations systems (DTOS). The Deployable Tactical Operations System, (DTOS), provides USACE with the necessary equipment to begin providing emergency aid to a disaster stricken community immediately. This requirement for DTOS is budgeted over a 3 yr period. These activities ensure USACE personnel assigned emergency assistance responsibilities are trained and equipped to accomplish their missions. This includes, but not limited to, personnel assigned to Emergency Operations Centers, Crisis Management Teams, Crisis

Action Teams, Regional Operations Centers, Planning and Response Teams, Special Cadres, Levee Inspection Teams and general response personnel.

b. Major preparedness efforts include the review and updating of response plans based on lessons learned from recent disasters; training of personnel and teams to develop critical skills which enhance the capability to respond under adverse conditions; procurement and prepositioning of critical supplies and equipment (i.e., sandbags, pumps) which likely would be otherwise unavailable during the initial response stages; periodic exercises to test and evaluate plans, personnel, and training; inspection of non-Federal flood control projects to ensure their viability to provide flood protection and assess their eligibility for post-flood rehabilitation; laboratory support for field operations; liaison with state and local governments and agencies; and effective management to ensure workable, coordinated efforts that will meet the needs of disaster victims. The funding identified under All-Natural Hazards Preparedness Activities reflects expanded national and regional planning, training and coordination to support response to all natural disasters that includes disasters under the umbrella of the National Response Plan.

I-8. Special Considerations or Special Rating Criteria.

a. USACE plans for all natural disasters, but response and recovery under its own authority (FCCE) are limited to-- fights floods (direct and technical assistance), provision of emergency water, advance measures, and restoration of federal/non-federal flood control works. All other responses are funded by external authorities (i.e. Stafford Act).

b. Due to the uncertainty of the number and severity of disasters, it is difficult to determine the program funding requirements for activities other than the baseline operational and preparedness costs.

I-9. Work Plan (Spreadsheets) Guidance.

a. **Level 1** is your baseline, bare-boned budget request. It includes only existing staff and current approved FTEs (no new staff requirements), existing leases not funded through revolving fund, and required ancillary costs to support baseline requirements. The request must also identify those outcomes and outputs that can be achieved at this level.

b. **Level 2** is your recommended request which reflects Level 1 funding plus. It would provide for positive contributions to the applicable business line performance measures. The request must identify those outcomes and outputs that can be achieved at this level. Justification for specific new items from the previous fiscal year must be provided.

c. When completing the Work Plan spreadsheets the following additional guidance should be used:

1. No response is needed in areas of effort where no work is planned. However, in those areas where a single major item, a group of like items, or several items will be accomplished which require significant resources each will be identified with an appropriate estimate.

2. Under the Rehabilitation and Inspection Program, please provide a supplemental document that identifies those projects to be inspected with associated costs. The Work Plan (Spreadsheet) should only have a roll up of the cost. However, Districts are requested to supplement the Work Plan with a separate sheet that indicates the projects and schedule for inspection. Refer to Sub-Annex C-2.2.h Inspection of Completed Works for guidance to develop the program year budget activities for inspections of non-Federal projects. Refer to Appendix III-5.b(3)(f) for guidance on initial increments of inspection activities and Appendix III-5.c(3)(e) for additional increments guidance.

3. Justification for specific new items or cost increases exceeding 5% from the previous fiscal year must be provided separately.

4. Additional remarks can be submitted on separate sheets of paper to assist in justifying your resource needs.

5. The column L heading on the worksheet; FYXX should be changed to indicate FY08 or FY09 as appropriate. Data in the column should populate itself.

d. All work resources identified will be linked to the existing Performance Measures and should tie into current Mission Essential Task Lists (METLs) identified by each organization.

e. See attached sample work plan. (Scan in as Adobe or attach Excel spreadsheet.)



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spreadsheet.xls